



3624

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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/738,618 |
| | Filing Date | 12/15/2000 |
| | First Named Inventor | Mark A. Henninger ET AL. |
| | Art Unit | 3624 |
| | Examiner Name | Lalita M. Hamilton |
| Total Number of Pages in This Submission | Attorney Docket Number | 00-416 |

ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosures(s) (please Identify below): |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|---|
| Firm or Individual name | W. Bryan McPherson III, Registration No. 41,988 |
| Signature | <i>W. Bryan McPherson III</i> |
| Date | October 11, 2004 |

CERTIFICATE OF MAILING

| | |
|---|---|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope address to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this date: 10/11/2004 | |
| Typed or printed name | W. Bryan McPherson III |
| Signature | <i>W. Bryan McPherson</i> Date 10/11/04 |

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
)
MARK A. HENNINGER ET AL.) Art Unit: 3624
)
Application No.: 09/738,618) Examiner: Lalita M. Hamilton
)
Filed: December 15, 2000) Paper No.: 10
)
For: COMPENSATORY RATIO HEDGING)
)
Attorney Docket No.: 00-416)
_____)

Peoria, Illinois 61629-6490

October 11, 2004

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE OF NON-COMPLIANCE

Sir:

In response to the Official Action dated September 10, 2004, please amend the above-identified application as follows:

INTRODUCTORY COMMENTS

Reconsideration of the claims is respectfully requested. Claims 1-8 remain in the application and claims 9 - 20 have been withdrawn. No new matter has been added and no additional fees have been incurred by way of this amendment.